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(21) International Application Number: PCT/US99/13616 (22) International Filing Date: 17 June 1999 (17.06.99) (30) Priority Data: 09/164,577 1 October 1998 (01.10.98) US (71) Applicant: GENERAL INSTRUMENT CORPORATION [US/US]; 101 Tournament Drive, Horsham, PA 19044 (US). (72) Inventor: LEARY, Patrick, J.; 35 Downey Drive, Horsham, PA 19044 (US). (74) Agent: NICHOLS, Steven, L.; Rader Fishman & Grauer PLLC, Lion Building, 1233 20th Street, N.W., Washington, DC 20036 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW. ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: METHOD AND ARRANGEMENT FOR CONFIGURING CABLE TELEVISION CONVERTER TERMINAL USING MULTIPLE CHANNELS (57) Abstract <p>A converter terminal is configured, for example, to receive and descramble premium cable television channels or to receive data services over the cable network. The converter terminal is configured by transmitting an abbreviated set of configuration data on an out-of-band control channel. This abbreviated set of configuration information includes an instruction to tune the terminal to a higher-bandwidth in-band data channel, which is used to transmit the remainder of the configuration data. With a substantial amount of the configuration information transmitted using a faster connection, configuration can be achieved in less time. In addition, the larger bandwidth facilitates the transmission of multiple sets of configuration information corresponding to different models of cable television converter terminals.</p>		

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TITLE OF THE INVENTION**METHOD AND ARRANGEMENT FOR CONFIGURING CABLE TELEVISION
CONVERTER TERMINAL USING MULTIPLE CHANNELS**

5

FIELD OF THE INVENTION

The present invention relates to the field of cable television converter terminals. More particularly, the present invention relates to the remote programming or
10 configuration of such terminals.

BACKGROUND OF THE INVENTION

Many cable television service providers use devices known as converter terminals to convert cable
15 television signals into a very high frequency (VHF) signal which can be used by conventional television equipment. An example of a converter terminal is depicted in FIG. 1 at reference number 100.

The converter terminal 100 receives cable
20 television signals through an input port 102. The cable television signals are provided to a signal processor 104 which includes a tuner. The tuner of the signal processor 104 is capable of selecting one of the various channels included in the incoming cable
25 television signal for display on a television. The signal, audio and video, for the selected or tuned channel is provided to a signal decoder 108, which, in turn, provides the signal to one or more output ports 106 to which a television or a video cassette recorder
30 (VCR) may be connected.

A typical cable television service provider may offer several tiers of service at differing monthly

rates. For example, most basic subscription plans include broadcast stations, such as major television networks, as well as other cable channels. By paying an additional monthly fee, a subscriber may receive access to other channels, known in the industry as premium channels. Providers typically offer multiple tiers of premium channels, such as "extended basic" programming, movie channels, and pay-per-view events.

To control access to these different levels of service, providers can transmit, to each subscriber, only those signals corresponding to subscribed services. This approach to controlling access, however, involves using dedicated transmission equipment for each subscriber. As the number of subscribers increases, the cost of this equipment becomes prohibitively expensive.

A more popular approach involves transmitting a common set of signals to all subscribers which includes all the channels offered by the provider. Under such an approach, premium channels are typically scrambled to ensure that only customers who subscribe to premium services can enjoy them. Cable television subscribers who do not pay for premium channels receive scrambled signals, which are difficult to render on a television. Premium subscribers, on the other hand, have converters that are upgraded to descramble the scrambled signals so that they, too, can be viewed.

Consequently, each subscriber's converter terminal must be configured and programmed to provide only those services for which the subscriber has paid. Additionally, periodic changes and upgrades which occur in the normal life of the cable system may call for

changes in the configuration and programming of the converter terminals.

Obviously, it is more time and cost effective to configure converter terminals remotely using the cable network itself rather than have a service-person visit and upgrade each subscriber's converter terminal. Consequently, one conventional approach to configuring converter terminals involves using an out-of-band control channel to transmit a sequence of commands to the converter terminal to configure the terminal.

This control channel, however, is limited in bandwidth. Therefore, with an increase in the capabilities and features which the terminal is to be configured to provide, the volume of data transmitted to each terminal over the out-of-band control channel grows. This necessarily slows the configuration process.

The bandwidth limitation poses a particular problem when several different types of converter terminals using different command sets or configuration parameters are serviced by the same out-of-band control channel. In this case, the already limited bandwidth is split between different versions of configuration parameters and command sequences, further slowing the configuration process.

Consequently, there is a need in the art for a method of remotely configuring converter terminals which is faster and less burdensome than that historically provided by the sole use of an out-of-band control channel.

SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to meet the above-described needs and others. Specifically, it is an object of the present invention to provide a method of remotely configuring
5 converter terminals which is faster and less burdensome than conventional methods.

Additional objects, advantages and novel features of the invention will be set forth in the description which follows or may be learned by those skilled in
10 the art through reading these materials or practicing the invention. The objects and advantages of the invention may be achieved through the means recited in the attached claims.

According to one embodiment, the present invention
15 is directed to a method for configuring a cable television converter terminal. A first signal channel, e.g. the traditional out-of-band control channel, is used to transmit a first set of configuration data that includes a first command. When executed by the cable
20 television converter terminal, the first command causes the cable television converter terminal to tune to a second channel for receiving additional configuration data. Preferably, the second signal channel has a bandwidth higher than the bandwidth of the first signal
25 channel.

Another method embodiment deals with the situation in which different configuration data must be sent to terminals which are to be configured differently. According to this embodiment, a first signal channel is
30 used to transmit a first set of configuration data to

the converter terminal. The first set of configuration data includes at least one designator which corresponds to the manner in which the receiving converter terminal is to be configured. A command is also transmitted using the first signal channel which instructs the cable television converter terminal to tune to a second signal channel having a bandwidth higher than a bandwidth of the first signal channel.

The second signal channel transmits additional various sets of additional configuration data. Each such set of secondary configuration data corresponds to a particular a particular manner in which a converter terminal is to be configured. The designator received by the converter terminal over the first signal channel will correspond to the set of secondary configuration data on the second channel which should be used to configure the particular converter terminal. Thus, the converter terminal can ignore all secondary configuration data sets on the second channel until the secondary configuration data set corresponding to the designator is transmitted.

BRIEF DESCRIPTION OF THE DRAWINGS

These and other aspects and advantages of the present invention will become apparent upon reading the following detailed description and upon reference to the drawings which are a part of the specification. In the drawings:

FIG. 1 illustrates an example of a conventional cable television converter terminal;

FIG. 2 illustrates an example cable television system in which command sequences are transmitted according to an embodiment of the present invention;

FIG. 3 is a flowchart depicting an example method of transmitting configuration information to a cable television converter terminal, according to a particular embodiment of the present invention; and

FIG. 4 is a flowchart illustrating an example method for receiving and processing configuration information in a cable television converter terminal, according to another particular embodiment of the present invention.

The invention is amenable to various modifications and alternative forms. Specifics thereof have been shown by way of example in the drawings and will be described in detail. It should be understood, however, that the intention is not to limit the invention to the particular embodiments described. On the contrary, the intention is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by appended claims.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS:

The present invention is applicable to a variety of cable television systems and arrangements. The invention has been found to be particularly advantageous in cable systems in which different types of cable television terminals receive signals from a common transmitter. Additionally, the present invention may be applicable to data services, as opposed to video services, carried by service providers. For example, the present invention may be

used when an electronic program guide, internet access or terminal operating code is provided by a cable television system over the cable network. An appreciation of various aspects of the invention can be
5 gained through a discussion of various application examples.

Referring again to the drawings, FIG. 2 depicts an exemplary cable television system in which control signals are transmitted according to an embodiment of
10 the present invention. A transmitter 200 transmits cable television signals using a number of signal channels to all subscribers in a selected geographical area. While FIG. 2 illustrates a single transmitter 200, it should be understood that, consistent with the
15 principles of the present invention, a cable television system can use multiple transmitters to transmit the cable television signals.

These signals include configuration or control signals as well as television programming signals and
20 are generally transmitted using a single cable 204, such as a fiber optic cable. Signals providing an electronic program guide or internet access may also be carried over cable 204. The cable 204 is split to supply the signals to multiple subscribers through
25 cable television converter terminals 202.

According to a particular embodiment of the present invention, the cable television converter terminals 202 are each of the same type. In another embodiment, the cable television converter terminals 202 are of
30 different types that recognize different types of control signals.

Each cable television converter terminal 202 includes a tuner 206, which extracts a single signal channel from the signals received from the cable 204. A signal processor 208 generates output signals, including video and/or audio signals, based on the extracted signal channel. The signal processor 208 also interprets control signals received from the cable 204 to, for example, instruct the cable television converter terminal 202 to descramble premium service signals.

A signal decoder 210 optionally performs further processing on the output signals. For example, in response to receiving the appropriate instruction, the signal decoder 210 descrambles programming signals corresponding to premium or pay-per-view services. The output signal is then provided to an external device such as a television or VCR (not shown).

As described above, in a traditional cable television system, control signals containing command sequences are transmitted on an out-of-band control channel lying outside the range of frequencies used for transmitting programming signals. According to an embodiment of the present invention, the command sequence transmitted on the out-of-band control channel is abbreviated and includes an instruction to tune the cable television converter terminal 202 to an in-band data channel, which lies within the frequency range used to transmit programming signals. The remainder of the configuration information, which can include commands and/or other types of information is then provided on the in-band data channel.

The in-band channel has a much greater bandwidth than the out-of-band channel. Therefore, data is transmitted at a higher rate than on the out-of-band control channel. Consequently, the remainder of the configuration information needed by the cable television converter terminal 202 is obtained in less time, e.g., 25% faster.

After the configuration information is obtained, the cable television converter terminal 202 optionally remains tuned to the in-band channel to receive programming signals. Alternatively, the cable television converter terminal can be tuned either to a different in-band channel or to the out-of-band control channel to receive additional configuration data.

FIG. 3 is a flowchart illustrating an example method 300 for transmitting control signals to a cable television converter terminal, according to an embodiment of the present invention. In a block 302, an abbreviated command sequence is transmitted to the cable television converter terminal using the out-of-band control channel.

Next, in a block 304, an out-of-band command is transmitted that commands the cable television converter terminal to tune to an in-band data channel, such as Channel 2. In a block 306, the remainder of the configuration information is transmitted on the in-band data channel. By using the higher bandwidth in-band data channel, this configuration data is transmitted more quickly than if it were transmitted on the out-of-band control channel. At a block 308, the process is completed, and the cable television

converter terminal is tuned to receive programming signals.

FIG. 4 is a flowchart depicting an example method 400 for receiving and processing configuration information according to another embodiment of the present invention. In this embodiment, converter terminals requiring different configurations are each properly configured.

At a block 402, the cable television converter terminal receives a first set of configuration data on the out-of-band control channel. This first set of configuration data includes a command which may direct the converter terminal to tune to an in-band channel for the remainder of the configuration data. The first set of configuration data also includes a designator which the converter terminal has been pre-programmed to recognize depending on the manner in which that particular converter terminal is to be configured, e.g., is the converter terminal to descramble some or all of the premium channels.

The command is processed at a block 404 resulting in a decision depicted at block 406. If the command directs the converter terminal to tune to a second in-band control channel for additional configuration data, the terminal does so and the process moves to block 408. If the command does not direct the converter terminal to retune, the converter terminal may continue to monitor the out-of-band control channel, and the process returns to block 402.

When the terminal tunes itself to the in-band data channel in block 408, the terminal may receive a commands and additional configuration information.

Data received on the in-band data channel is processed at block 410.

at block 410.

As previously mentioned, the cable system may include converter terminals of different types that interpret and respond to different command sequences and configuration parameters. All versions of the configuration parameters used in the various types of terminals are transmitted on the in-band data channel cyclically. The designator or set of designators received on the out-of-band channel distinguish between the multiple versions of configuration data that are transmitted on the in-band channel.

ing at the block 410

transmitted on the in-band channel.

As part of the command processing at the block 410, the terminal can use its designator to ignore all versions of the configuration data that do not correspond to the particular type its designator and are, therefore, not the appropriate configuration data for that terminal. Thus, this method 400 solves the problem of configuring various types of cable television converter terminals using multiple versions of configuration parameters.

The converter terminal then determines at a block 412 whether the end of the in-band command sequence has been reached. If not, control returns to the block 408, at which the terminal receives additional configuration information on the in-band data channel. If the end of the sequence has been reached, flow proceeds to a decision block 414, at which the terminal may return to the out-of-band control channel, e.g., to receive additional commands from the out-of-band control channel. If so, the process continues to the block 402, and the terminal receives a command on the

out-of-band control channel. Ordinarily, however, the process will proceed to a block 416, at which the process ends and the terminal receives programming signals on an in-band data channel.

5 With much of the configuration information transmitted on the in-band data channel at a higher bandwidth than on the out-of-band control channel, cable television converter terminals can be initialized more quickly than in conventional cable television
10 systems. In addition, all terminals receive all operating parameters when they are initialized and are fully functional. Furthermore, a reduced amount of data is transmitted on the out-of-band control channel, relieving traffic congestion on that channel.

15 As noted above, the present invention may also include providing configuration information that configures the converter or set-top terminal to provide data services as well as cable television services. For example, electronic television programming guides,
20 internet access, electronic mail, world wide web browsing and other data services may be provided over the cable network.

 Under the principles of the present invention, a signal on the out-of-band control channel might direct
25 the converter terminal to an in-band channel for configuration data that will allow the terminal to provide any or all of the exemplary data services listed above or any other data services the cable network may provide.

30 The various embodiments described above are provided by way of illustration only and should not be construed to limit the invention. Those skilled in the

art will readily recognize various modifications and changes that can be made to these embodiments without strictly following the example embodiments and applications illustrated and described herein, and
5 without departing from the true spirit and scope of the present invention, which is set forth in the following claims.

What is claimed is:

1. A method for configuring a converter terminal on a cable network, the method including:
transmitting, using a first signal channel, a
5 first set of configuration data, the first set of configuration data including a first command that, when executed by the converter terminal, causes the converter terminal to tune a second signal channel having a bandwidth higher than a bandwidth of the first
10 signal channel; and
transmitting a second set of configuration data using the second signal channel.
2. A method, according to claim 1, wherein said first signal channel is an out-of-band channel and said
15 second signal channel is an in-band channel.
3. A method, according to claim 1, wherein said cable network is a cable television network and said first and second sets of configuration data configure said converter terminal to receive cable television
20 signals over said network.
4. A method, according to claim 1, wherein said first and second sets of configuration data configure said converter terminal to receive data services over said cable network.
- 25 5. A method, according to claim 1, wherein the first set of configuration data includes at least one designator identifying a subset of the second set of

configuration data to be processed by the converter terminal.

6. A method, according to claim 5, wherein said transmitting a second set of configuration data
5 comprises sequentially and cyclically transmitting a plurality of subsets of configuration data as said second set of configuration data.

7. A method for configuring a converter terminal, the method comprising:

10 using a first signal channel to transmit a first set of configuration data including at least one first designator identified with the converter terminal;

15 transmitting, using the first signal channel, a command instructing the converter terminal to tune a second signal channel having a bandwidth higher than a bandwidth of the first signal channel;

20 transmitting a second set of configuration data using the second signal channel, the second set of configuration data including at least one subset of configuration data, each subset identified with a second designator; and

25 processing a subset of configuration information that is identified with a second designator which corresponds to the first designator identified with the converter terminal.

8. A configuration arrangement for configuring a cable television converter terminal, the arrangement including:

means for transmitting, using a first signal channel, a first set of configuration data, the first set of configuration data including a first command that, when executed by the converter terminal, causes the converter terminal to tune a second signal channel having a bandwidth higher than a bandwidth of the first signal channel; and

means for transmitting a second set of configuration data using the second signal channel.

10 9. A configuration arrangement, according to claim 8, wherein the first set of configuration data includes at least one additional command to be executed by the converter terminal.

15 10. A configuration arrangement, according to claim 8, wherein the first set of configuration data includes at least one designator identifying a subset of the second set of configuration data to be processed by the converter terminal.

20 11. A configuration arrangement, according to claim 10, wherein said means for transmitting a second set of configuration data comprises means for sequentially and cyclically transmitting a plurality of subsets of configuration data as said second set of configuration data.

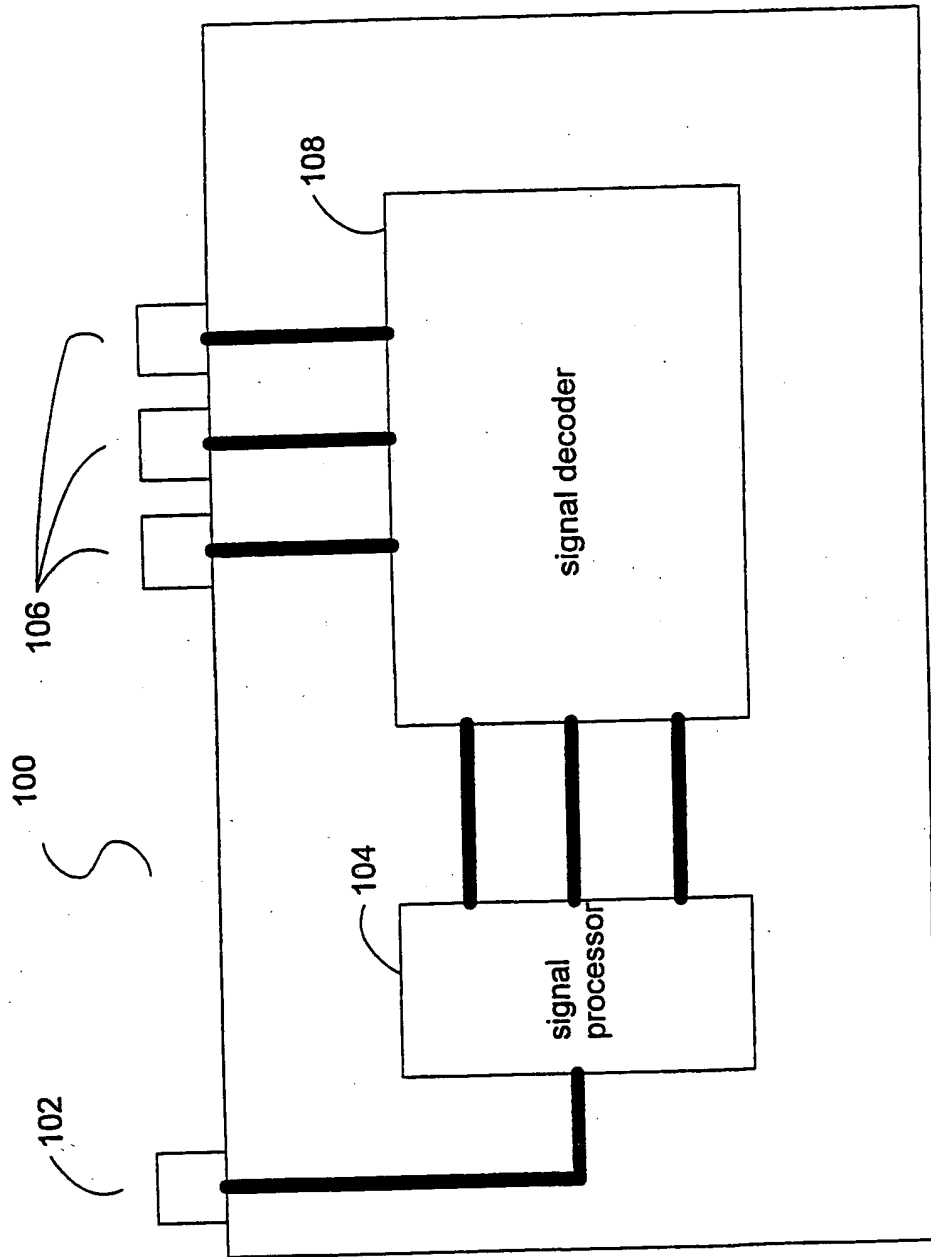


FIG. 1 (prior art)

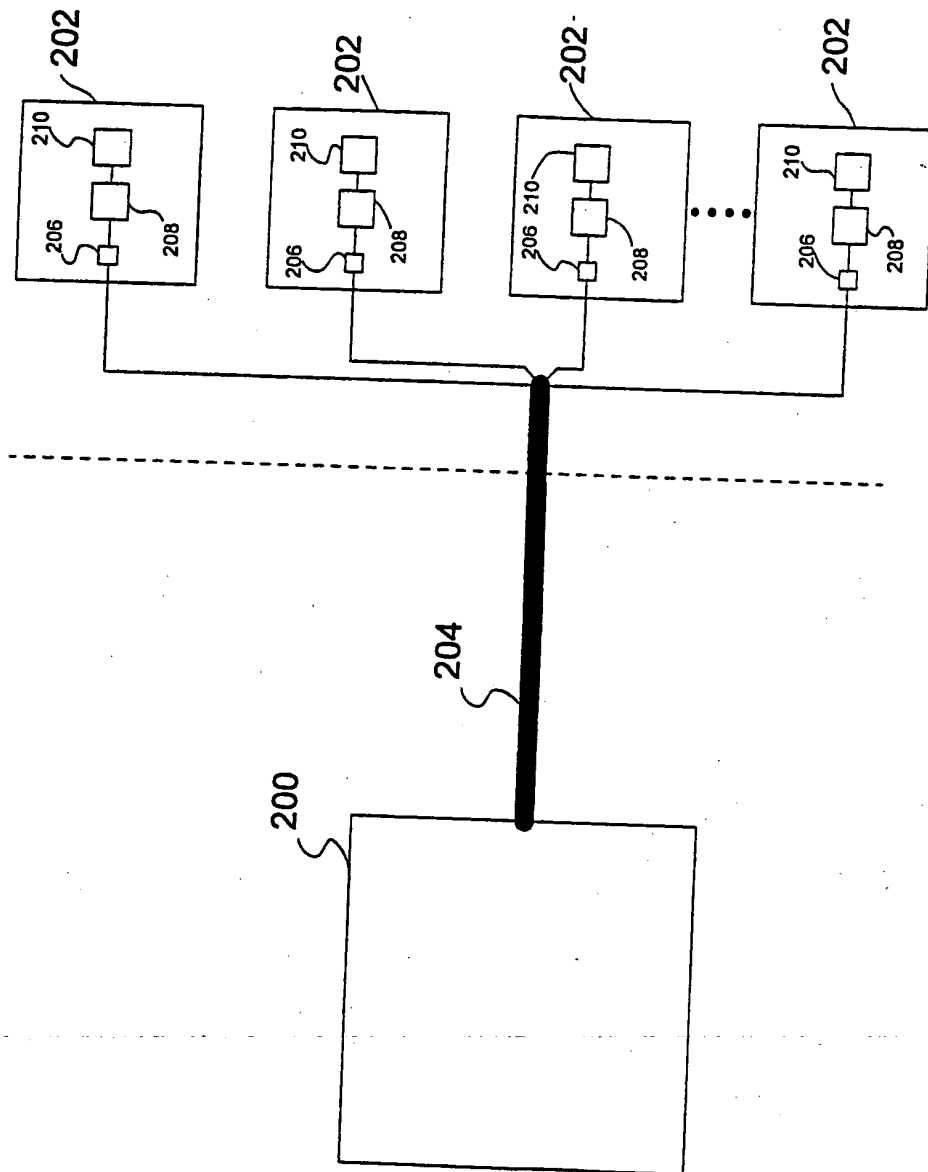
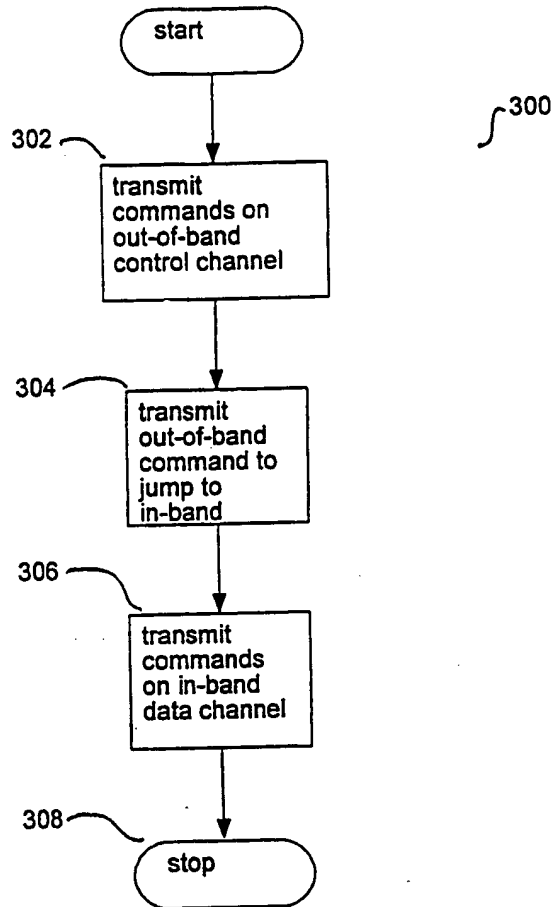
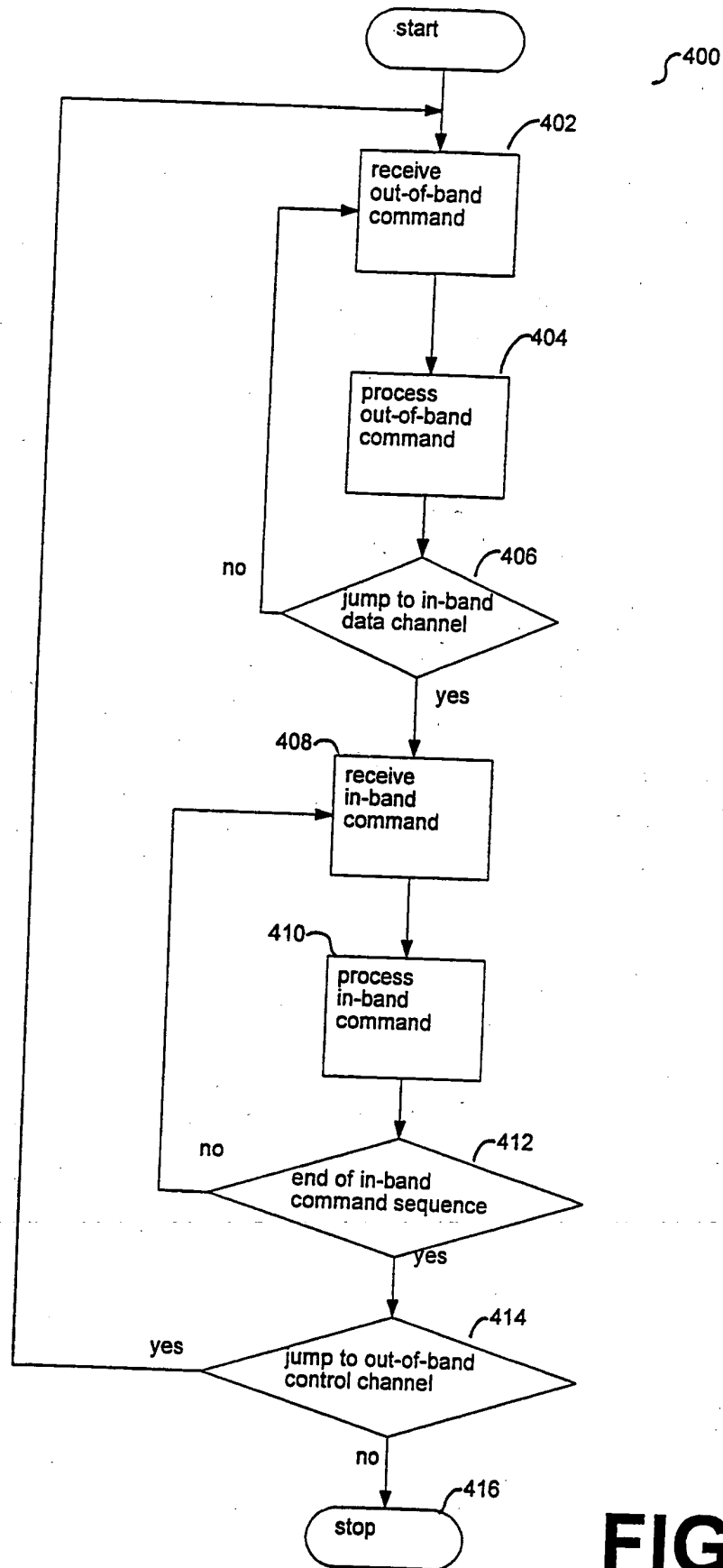


FIG. 2

**FIG. 3**

**FIG. 4**

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/13616

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N7/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 440 632 A (BACON KINNEY C ET AL) 8 August 1995 (1995-08-08) column 5, line 3 - line 64 column 8, line 12 - column 9, line 6 column 10, line 23 - column 11, line 20 figures 1-10	1-11
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☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

4 October 1999

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 99/13616

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